

# Minimal Elements for the Prime Numbers

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# Motivation

## Fact

The following 26 numbers are prime:

2, 3, 5, 7, 11, 19, 41, 61, 89, 409, 449, 499, 881, 991, 6469, 6949,  
9001, 9049, 9649, 9949, 60649, 666649, 946669, 60000049,  
66000049, 66600049

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9001, 9049, 9649, 9949, 60649, 666649, 946669, 60000049,  
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## Claim

Give me a prime number and I can remove some of its digits to obtain a prime on this list!

# Minimal Primes

- ▶ The primes in this list are known as the *minimal primes* because this the smallest list of numbers for which this claim holds.

## Minimal Sets

- ▶ More generally, any language (set of strings over a finite alphabet) has its own *minimal set* of elements and the minimal primes are the minimal set of the language

$\{2, 3, 5, 7, 11, 13, 17, 19, 23, \dots\}$ .

## Definitions

- ▶  $x$  is a *subword* of  $y$  if one can strike out zero or more symbols of  $y$  to get  $x$ .
- ▶ A string of symbols  $s$  is *minimal* for a language  $L$  if
  1.  $s$  is a member of  $L$  and
  2.  $s$  does not contain another member of  $L$  as a subword.
- ▶  $M(L)$  denotes the set of minimal elements of  $L$ .

## Higman–Haines Theorem

- ▶  $M(L)$  is finite for every language  $L$ .

## Computation of Minimal Sets

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- ▶ Can lead to some strange behaviour...
  - ▶ The minimal set for primes of the form  $4n + 1$  has 146 elements, the largest of which has 79 digits.
  - ▶ The minimal set for primes of the form  $4n + 3$  has 113 elements, the largest of which has 19,153 digits!

# Computation of Minimal Sets

## Proposed Computation Process

- ▶ The following process will determine  $M(L)$  if it can be implemented:
  1.  $M := \emptyset$
  2. while  $L \neq \emptyset$  do
    3. choose  $x$ , a shortest string in  $L$
    4. add  $x$  to  $M$
    5. remove from  $L$  all words containing the subword  $x$
  6. return  $M$

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  6. return  $M$
- ▶ Caveat: We might not have a nice way of performing operations on  $L$ .

# Computation of Minimal Sets

## Using Overapproximations

- ▶ This process also works if  $L$  is replaced with an overapproximation  $L'$ , so long as once no more minimal elements remain to be found we can show that  $L' = \emptyset$ .

# Computation of Minimal Sets

## Using Overapproximations

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- ▶ In practice, we choose  $L'$  to be a regular language, e.g.,

$$\{2, 5\} \cup \Sigma^*\{1, 3, 7, 9\}$$

is a regular overapproximation to the set of primes over the alphabet  $\Sigma := \{0, \dots, 9\}$ .

# Computation of Minimal Sets

## Sample Language

- ▶ We will work with overapproximations of the form  $xL^*z$  where  $x$  and  $z$  are strings of digits and  $L$  is a set of digits.
- ▶ To be able to apply the process previously described, we need to be able to test if  $xL^*z$  contains a prime or not.



# Computation of Minimal Sets

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- ▶ To be able to apply the process previously described, we need to be able to test if  $xL^*z$  contains a prime or not.
- ▶ It is unknown if this problem is decidable.

# Computation of Minimal Sets

## Necessary Operations

- ▶ In order to perform the process previously described, we need to perform the following operations on the language  $xL^*z$ :
  1. Determine if the language contains a prime.
  2. If so, determine the smallest prime(s) in the language.
  3. If a prime is found, shrink the language under consideration so that it no longer contains that prime.

# Computation of Minimal Sets

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  1. Determine if the language contains a prime.
  2. If so, determine the smallest prime(s) in the language.
  3. If a prime is found, shrink the language under consideration so that it no longer contains that prime.
    - ▶ And any strings which contain that prime as a subword.

# Proving that $xL^*z$ contains no primes

Method 1: Find a common divisor

**Theorem.** If  $N$  divides  $xz$  and all numbers of the form  $xLz$  then  $N$  divides all numbers of the form  $xL^*z$ .

# Proving that $xL^*z$ contains no primes

Method 1: Find a common divisor

**Theorem.** If  $N$  divides  $xz$  and all numbers of the form  $xLz$  then  $N$  divides all numbers of the form  $xL^*z$ .

**Example.** 7 divides 49 and 469 so 7 divides 4669, 46669, and all numbers of the form  $46^*9$ .

## Proof

$N$  divides  $xz$  and all  $xLz$  implies  $N$  divides all  $xL^*z$

Say  $y \in L^*$  contains the digits  $y_1, \dots, y_n$  and  $z$  is a digit. By telescoping,

$$\begin{aligned}xyz - xz &= \sum_{i=1}^n (xy_i y_{i+1} \cdots y_n z - xy_{i+1} \cdots y_n z) \\ &= \sum_{i=1}^n 10^{n-i} (xy_i - x) \\ &= \sum_{i=1}^n 10^{n-i-1} (xy_i z - xz)\end{aligned}$$

$N$  must divide  $xyz$  since it divides every other term in this equation.

## Proving that $xL^*z$ contains no primes

Method 2: Use an algebraic factorization

Let  $[x]_b$  represent the evaluation of the string  $x$  in base  $b$ ; the following are some example algebraic factorizations:

$$\left[ \overbrace{4 \cdots 4}^n 1 \right]_{16} = (8 \cdot 4^n + 7)(8 \cdot 4^n - 7)/15$$

$$\left[ 1 \overbrace{0 \cdots 0}^n 1 \right]_8 = (2^{n+1} + 1)(4^{n+1} - 2^{n+1} + 1)$$

Once  $n$  is large enough the right side obviously factors and cannot be prime.

# Proving that $xL^*z$ contains no primes

Combination method

The family  $19^*$  in base 17 contains no primes, because

$$\left[1 \overbrace{9 \cdots 9}^{2n}\right]_{17} = (5 \cdot 17^n + 3)(5 \cdot 17^n - 3)/16$$

and all  $\left[1 \overbrace{9 \cdots 9}^{2n+1}\right]_{17}$  are even, since  $[19]_{17}$  and  $[1999]_{17}$  are even.



## Proving that $xL^*z$ contains a prime

- ▶ In practice, if  $xL^*z$  could not be ruled out as only containing composites and  $|L| > 1$  then a relatively small prime could always be found in the language.
- ▶ Intuitively, this is because there are a large number of small strings in such a language, and at least one is likely to be prime.
  - ▶ For example, there are  $2^{n-2}$  strings of length  $n$  in the language  $1\{2, 3\}^*1$ .

## Searching for primes in $xy^*z$

- ▶ In the case  $|L| = 1$  the family is of the form  $xy^*z$ , and there is only a single string of each length  $\geq |xz|$ .
- ▶ Some families  $xy^*z$  could not be ruled out as only containing composites and no primes could be found in the family, even after searching through numbers with over 100,000 digits.

## Does $xy^*z$ contain large primes?

- ▶ The prime number theorem tells us that the chance that a random  $n$ -digit number is prime is approximately  $1/n$ . If one conjectures the numbers  $xy^*z$  behave similarly you would expect  $\sum_{n=2}^{\infty} 1/n = \infty$  primes of the form  $xy^*z$ .

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- ▶ Of course, this doesn't always happen, but it's at least a reasonable conjecture in the absence of evidence to the contrary.

## In Practice...

- ▶ Many  $xy^*z$  families contain no small primes even though they do contain very large primes.
- ▶ For example, the smallest prime in the base 23 family  $9E^*$  is  $9E^{800873}$  which when written in decimal contains 1,090,573 digits.

## In Practice...

- ▶ Many  $xy^*z$  families contain no small primes even though they do contain very large primes.
- ▶ For example, the smallest prime in the base 23 family  $9E^*$  is  $9E^{800873}$  which when written in decimal contains 1,090,573 digits.
  - ▶ Technically, probable primality tests were used to show this (which have a *very* small chance of making an error) because all known primality tests run far too slowly to run on a number of this size.

## Shrinking the Language

- ▶ Recall that once a minimal prime has been found we want to shrink the language being searched while still keeping it large enough that it contains all remaining minimal primes.

## Shrinking $xL^*z$

- ▶ Say that  $xyz$  is discovered to be prime with  $y \in L$ . Then  $xL^*z$  can be replaced with

$$x(L \setminus \{y\})^*z.$$



## Shrinking $xL^*z$

- ▶ Say that  $xyz$  is discovered to be prime with  $y \in L$ . Then  $xL^*z$  can be replaced with

$$x(L \setminus \{y\})^*z \quad \cup \quad x(L \setminus \{y\})^*y(L \setminus \{y\})^*z.$$

## Shrinking $xL^*z$

- ▶ Say that  $xy\hat{y}z$  and  $x\hat{y}yz$  are discovered to be prime with  $y, \hat{y} \in L$  and  $y \neq \hat{y}$ . Then  $xL^*z$  can be replaced with

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## Shrinking $xL^*z$

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$$x(L \setminus \{y\})^*(L \setminus \{\hat{y}\})^*z.$$

## Exploring $xL^*z$

- ▶ If the methods we've discussed cannot be used to rule out or shrink  $xL^*z$  where  $L = \{y_1, \dots, y_n\}$  then we can replace it by

$$xL^*y_1z \cup xL^*y_2z \cup \dots \cup xL^*y_nz$$

and re-run the methods on this new language.

## Experimental Results

- ▶ There is no guarantee that the techniques discussed will ever terminate, but in practice they often do.
- ▶ They are able to determine the minimal primes of the form  $4n + 1$  and  $4n + 3$  and the minimal primes expressed in the bases  $b$  for  $2 \leq b \leq 16$  and  $b = 18, 20, 22, 23, 24,$  and  $30$ .

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  - ▶ The bases  $b = 17, 19, 21$ , and  $25 \leq b \leq 29$  are solved with the exception of 37 families of the form  $xy^*z$ .

# Summary of Results for Bases up to 30

| Base | # elements    | Max. length    | # unsolved families |
|------|---------------|----------------|---------------------|
| 2    | 2             | 2              |                     |
| 3    | 3             | 3              |                     |
| 4    | 3             | 2              |                     |
| 5    | 8             | 5              |                     |
| 6    | 7             | 5              |                     |
| 7    | 9             | 5              |                     |
| 8    | 15            | 9              |                     |
| 9    | 12            | 4              |                     |
| 10   | 26            | 8              |                     |
| 11   | 152           | 45             |                     |
| 12   | 17            | 8              |                     |
| 13*  | 228           | 32,021         |                     |
| 14   | 240           | 86             |                     |
| 15   | 100           | 107            |                     |
| 16   | 483           | 3545           |                     |
| 17*  | $\geq 1279$   | $\geq 111,334$ | 1                   |
| 18   | 50            | 33             |                     |
| 19*  | $\geq 3462$   | $\geq 110,986$ | 1                   |
| 20   | 651           | 449            |                     |
| 21*  | $\geq 2600$   | $\geq 479,150$ | 1                   |
| 22   | 1242          | 764            |                     |
| 23*  | 6021          | 800,874        |                     |
| 24   | 306           | 100            |                     |
| 25*  | $\geq 17,597$ | $\geq 136,967$ | 12                  |
| 26   | $\geq 5662$   | $\geq 8773$    | 2                   |
| 27*  | $\geq 17,210$ | $\geq 109,006$ | 5                   |
| 28*  | $\geq 5783$   | $\geq 94,538$  | 1                   |
| 29*  | $\geq 57,283$ | $\geq 174,240$ | 14                  |
| 30   | 220           | 1024           |                     |

\*Data based on probable primality tests.

# Unsolved Families

| Base | Family | Algebraic form                                   | Base   | Family | Algebraic form                                   |
|------|--------|--|--------|--------|--|
| 17   | F19*   | $(5 \cdot 821 \cdot 17^n - 3^2)/16$              | 29     | 1A*    | $(19 \cdot 29^n - 5)/14$                         |
| 19   | EE16*  | $(2^2 \cdot 13 \cdot 307 \cdot 19^n - 1)/3$      | 68L0*6 |        | $7 \cdot 757 \cdot 29^{n+1} + 2 \cdot 3$         |
| 21   | G0*FK  | $2^4 \cdot 21^{n+2} + 5 \cdot 67$                | AMP*   |        | $(8761 \cdot 29^n - 5^2)/28$                     |
| 25   | 6MF*9  | $(1381 \cdot 25^{n+1} - 53)/8$                   | C*FK   |        | $(3 \cdot 29^{n+2} + 2 \cdot 331)/7$             |
|      | CM1*   | $(59 \cdot 131 \cdot 25^n - 1)/24$               | F*OPF  |        | $(3 \cdot 5 \cdot 29^{n+3} + 139 \cdot 1583)/28$ |
|      | EE1*   | $(8737 \cdot 25^n - 1)/24$                       | FKI*   |        | $(6379 \cdot 29^n - 3^2)/14$                     |
|      | E1*E   | $(337 \cdot 25^{n+1} + 311)/24$                  | F*OP   |        | $(3 \cdot 5 \cdot 29^{n+2} + 7573)/28$           |
|      | EFO*   | $2 \cdot 3 \cdot 61 \cdot 25^n - 1$              | LP09*  |        | $(31 \cdot 16607 \cdot 29^n - 3^2)/28$           |
|      | F1*F1  | $(19^2 \cdot 25^{n+2} + 37 \cdot 227)/24$        | OOPS*A |        | $2 \cdot 10453 \cdot 29^{n+1} - 19$              |
|      | F0*KO  | $3 \cdot 5 \cdot 25^{n+2} + 2^2 \cdot 131$       | PC*    |        | $(2 \cdot 89 \cdot 29^n - 3)/7$                  |
|      | FOK*0  | $(5 \cdot 11 \cdot 41 \cdot 25^{n+1} + 19)/6$    | PPPL*0 |        | $(87103 \cdot 29^{n+1} + 3^2)/4$                 |
|      | LOL*8  | $(53 \cdot 83 \cdot 25^{n+1} - 3 \cdot 37)/8$    | Q*GL   |        | $(13 \cdot 29^{n+2} - 3 \cdot 1381)/14$          |
|      | M1*F1  | $(23^2 \cdot 25^{n+2} + 37 \cdot 227)/24$        | Q*LO   |        | $(13 \cdot 29^{n+2} - 19 \cdot 109)/14$          |
|      | M10*8  | $19 \cdot 29 \cdot 25^{n+1} + 2^3$               | RM*G   |        | $(389 \cdot 29^{n+1} - 5 \cdot 19)/14$           |
|      | OL*8   | $(199 \cdot 25^{n+1} - 3 \cdot 37)/8$            |        |        |  |
| 26   | A*6F   | $(2 \cdot 26^{n+2} - 7 \cdot 71)/5$              |        |        |  |
|      | I*GL   | $(2 \cdot 3^2 \cdot 26^{n+2} - 11 \cdot 113)/25$ |        |        |  |
| 27   | 80*9A  | $2^3 \cdot 27^{n+2} + 11 \cdot 23$               |        |        |  |
|      | 999G*  | $(101 \cdot 877 \cdot 27^n - 2^3)/13$            |        |        |  |
|      | CL*E   | $(3^2 \cdot 37 \cdot 27^{n+1} - 7 \cdot 29)/26$  |        |        |  |
|      | EI*F8  | $(191 \cdot 27^{n+2} - 2^3 \cdot 149)/13$        |        |        |  |
|      | F*9FM  | $(3 \cdot 5 \cdot 27^{n+3} - 113557)/26$         |        |        |  |
| 28   | OA*F   | $(2 \cdot 7 \cdot 47 \cdot 28^{n+1} + 5^3)/27$   |        |        |  |